Honour School of Mathematical and Theoretical Physics Part C Master of Science in Mathematical and Theoretical Physics

## COLLISIONLESS PLASMA PHYSICS TAKE HOME EXAM

## Hilary Term 2021

Tuesday, 16th March 12 noon 2021, to Thursday, 18th March 12 noon 2021

You should submit answers to all questions.

You may either type of hand-write your answers. You may refer to books and other sources when completing the exam but should not discuss the exam with anyone else.



Figure 1: A diagram showing the axisymmetric toroidal coordinates  $(r, \theta, \zeta)$ . Only a part of the torus is shown.

1. In this question you will use kinetic MHD to investigate force balance in an axisymmetric toroidal magnetic field **B**. To describe the toroidal magnetic geometry, we use the toroidal coordinates  $(r, \theta, \zeta)$  shown in figure 1. The coordinates  $(r, \theta, \zeta)$  form a right-handed orthonormal coordinate system, and are defined by their relationship to the Cartesian coordinates (x, y, z):

$$\begin{pmatrix} x \\ y \\ z \end{pmatrix} = \begin{pmatrix} R(r,\theta)\cos\zeta \\ -R(r,\theta)\sin\zeta \\ Z(r,\theta) \end{pmatrix},$$
(1)

where  $Z(r, \theta) = r \sin \theta$ , and  $R(r, \theta) = R_0 + r \cos \theta$ , with  $R_0$  the major radius of the torus. The minor radial coordinate r varies between the limits  $0 < r \leq a$ . The unit direction vectors of the  $(r, \theta, \zeta)$  coordinates are

$$\hat{\mathbf{r}} = \begin{pmatrix} \cos\theta\cos\zeta \\ -\cos\theta\sin\zeta \\ \sin\theta \end{pmatrix}, \quad \hat{\boldsymbol{\theta}} = \begin{pmatrix} -\sin\theta\cos\zeta \\ \sin\theta\sin\zeta \\ \cos\theta \end{pmatrix}, \text{ and } \quad \hat{\boldsymbol{\zeta}} = \begin{pmatrix} -\sin\zeta \\ -\cos\zeta \\ 0 \end{pmatrix}. \tag{2}$$

Consider a simple quasineutral plasma consisting of electrons with mass  $m_e$  and charge -e, and ions with mass  $m_i$  and charge  $Z_i e$ . Assume that the system is in steady state, with electric field  $\mathbf{E} = 0$ ; assume that the distribution function of ions  $\langle f_i \rangle_{\varphi}$  and electrons  $\langle f_e \rangle_{\varphi}$ are *isotropic* in velocity space about their respective mean velocities,  $\mathbf{u}_i$  and  $\mathbf{u}_e$ . Assume that there is negligible mass flow, i.e.,  $\mathbf{u}_i = 0$ .

(a) [5 marks] Use the parallel and perpendicular momentum equations of kinetic MHD to show that the total isotropic pressure P, and the current **J** satisfy

$$\mathbf{B} \cdot \nabla P = 0, \tag{3}$$

and

$$\mathbf{J}_{\perp} \cdot \nabla P = 0. \tag{4}$$

Here, the total isotropic pressure is defined by  $P = \sum_{s} (p_{s\parallel} + 2p_{s\perp})/3$ , with s = (i, e) an index that runs over species, and  $p_{s\parallel}$  and  $p_{s\perp}$  the parallel and perpendicular pressures of the species s, respectively. The perpendicular current  $\mathbf{J}_{\perp} = \mathbf{J} - \mathbf{J}_{\parallel}$ , with  $\mathbf{J}_{\parallel} = (\mathbf{J} \cdot \mathbf{b})\mathbf{b}$ , and  $\mathbf{b}$  the unit vector in the direction of  $\mathbf{B}$ . [Hint: Order  $|\mathbf{J}_{\parallel}| \sim |\mathbf{J}_{\perp}| \sim P/aB$ , with  $B = |\mathbf{B}|$ , and  $2\mu_0 P/B^2 \sim 1$ , and determine the allowed size of the electron mean velocity  $\mathbf{u}_{e}$ .]

(b) [10 marks] Axisymmetry implies that the pressure and the components of the magnetic field are independent of  $\zeta$ , i.e.,  $P = P(r, \theta)$  and  $\mathbf{B} = B_r(r, \theta)\hat{\mathbf{r}} + B_\theta(r, \theta)\hat{\boldsymbol{\theta}} + B_\zeta(r, \theta)\hat{\boldsymbol{\zeta}}$ , respectively. Show that an axisymmetric magnetic field may be written in the form

$$\mathbf{B} = \frac{I(\psi)}{R}\hat{\boldsymbol{\zeta}} + \frac{1}{R}\hat{\boldsymbol{\zeta}} \times \nabla\psi, \tag{5}$$

where  $R = R(r, \theta)$  and  $\psi = \psi(r, \theta)$ . To do this, first use  $\nabla \cdot \mathbf{B} = 0$  to relate  $B_r$  and  $B_{\theta}$  to a function  $\psi(r, \theta)$ . Second, use equation (3) to show that  $P = P(\psi)$ . Third, use equation (4), and Ampère's law to derive a relation for  $B_{\zeta}$ . Finally, compute the integral

$$\frac{1}{2\pi} \int_0^{2\pi} \int_0^r B_\theta(s,\theta) \ R(s,\theta) ds d\zeta,$$

and hence give a physical interpretation for  $\psi$ .

*Hint:* You may use without proof the forms of grad, div, and curl in the toroidal  $(r, \theta, \zeta)$  coordinates. For a scalar  $\phi$  and vector  $\mathbf{U} = U_r \hat{\mathbf{r}} + U_\theta \hat{\theta} + U_\zeta \hat{\zeta}$ , these are

$$\nabla \phi = \hat{\mathbf{r}} \frac{\partial \phi}{\partial r} + \frac{\hat{\theta}}{r} \frac{\partial \phi}{\partial \theta} + \frac{\hat{\zeta}}{R} \frac{\partial \phi}{\partial \zeta},$$
$$\nabla \cdot \mathbf{U} = \frac{1}{rR} \frac{\partial}{\partial r} \left( rRU_r \right) + \frac{1}{rR} \frac{\partial}{\partial \theta} \left( RU_\theta \right) + \frac{1}{R} \frac{\partial U_\zeta}{\partial \zeta}$$

and

$$\nabla \times \mathbf{U} = \frac{\hat{\mathbf{r}}}{rR} \left( \frac{\partial (RU_{\zeta})}{\partial \theta} - \frac{\partial (rU_{\theta})}{\partial \zeta} \right) + \frac{\hat{\theta}}{R} \left( \frac{\partial U_r}{\partial \zeta} - \frac{\partial (RU_{\zeta})}{\partial r} \right) + \frac{\hat{\zeta}}{r} \left( \frac{\partial (rU_{\theta})}{\partial r} - \frac{\partial U_r}{\partial \theta} \right).$$

(c) [15 marks] Consider the kinetic MHD perpendicular momentum equation. In the limit of

$$\frac{B_{\theta}}{B_{\zeta}} \sim \epsilon = \frac{a}{R_0} \ll 1 \sim \frac{2\mu_0 p}{B_{\theta}^2}, \text{ with } \frac{dI^2}{dr} \sim \mu_0 R_0^2 \frac{p}{a}$$

and  $\psi = \psi_0(r) + \psi_1(r,\theta) + O(\epsilon^2)$ , show that leading-order force balance becomes

$$\mu_0 R_0^2 \frac{dP(\psi_0)}{dr} + I(\psi_0) \frac{dI(\psi_0)}{dr} + \frac{1}{r} \frac{d\psi_0}{dr} \frac{d}{dr} \left( r \frac{d\psi_0}{dr} \right) = 0.$$
(6)

Briefly interpret this result.

(d) [5 marks] Find  $\psi_0$  by solving equation (6) for I a constant,  $dP/d\psi_0 = -2I/q\mu_0 R_0^3$ , where q is a constant, and subject to no divergences at r = 0. Give **B** to  $O(\epsilon)$ .

2. In this question you will consider particle motion in axisymmetric toroidal magnetic geometry, shown in figure 1. The  $(r, \theta, \zeta)$  toroidal coordinates are defined in equation (1), and the unit vectors  $\hat{\mathbf{r}}$ ,  $\hat{\theta}$ , and  $\hat{\zeta}$  are defined in equation (2). Assume that the plasma is in steady state, the electric field  $\mathbf{E} = 0$ , and take the magnetic field to be

$$\mathbf{B} = \frac{I}{R_0} \left( 1 - \frac{r}{R_0} \cos \theta \right) \hat{\boldsymbol{\zeta}} + \frac{\hat{\boldsymbol{\zeta}}}{R_0} \times \nabla \Psi, \tag{7}$$

where I is a constant (with units current/ $\mu_0$ ),  $R_0$  is the major radius,  $r \sim a$ , with a the minor radius, and

$$\Psi(r) = \frac{B_0 R_0 r^4}{4a^3},$$

with  $B_0 \sim aI/R_0^2$  a constant magnetic field. Assume throughout that  $\epsilon = a/R_0 \ll 1$ .

- (a) [3 marks] Consider particle motion in a magnetic field of the form (7). Use the guiding centre equations to show that  $\Psi$  is conserved by particle motion on time scales of  $O(a/v_{th})$ , where  $v_{th}$  is the thermal speed.
- (b) [2 marks] Show that the kinetic energy of particles is conserved.
- (c) [10 marks] Keeping terms to  $O(\epsilon)$ , sketch the magnetic field strength  $B(\theta) = |\mathbf{B}|$  (at fixed  $r \neq 0$ ). Hence, describe the trajectories of particles on time scales of  $O(a/v_{th})$ .
- (d) [15 marks] Write down the drift kinetic equation valid for  $O(a/v_{th})$  time scales. A trace impurity ion species is introduced into the device, and heated by cyclotron waves. The resulting distribution of the impurity species at  $\theta = 0$  is

$$\langle f \rangle_{\varphi} = N \left( \frac{m}{2\pi T} \right)^{3/2} \left( \frac{m\mu B(r, \theta = 0)}{T} \right)^2 \exp\left( -\frac{mv_{\parallel}^2/2 + m\mu B(r, \theta = 0)}{T} \right),$$

where N and T are constant densities and temperatures, respectively, m is the particle mass,  $v_{\parallel}$  is the parallel velocity and  $\mu$  is the magnetic moment. Calculate the density of impurity ions at all  $(r, \theta)$ , correct to  $O(\epsilon)$ .

3. In this question you will consider the propagation of cold plasma waves in a sheared-slab magnetic geometry. Assume that the magnetic field has the form

$$\mathbf{B} = \frac{B_0}{(1 + (x/L)^2)^{1/2}} \left( \hat{\mathbf{z}} + \frac{x}{L} \hat{\mathbf{y}} \right),$$

where (x, y, z) are the usual Cartesian coordinates, with corresponding unit vectors  $\hat{\mathbf{x}}$ ,  $\hat{\mathbf{y}}$ , and  $\hat{\mathbf{z}}$ ,  $B_0$  is a constant magnetic field, and L is a constant length. The plasma consists of ions, with mass  $m_i$  and charge  $Z_i e$ , and electrons, with mass  $m_e$  and charge -e. Assume throughout that  $Z_i \sim 1 \ll m_i/m_e$ . The electron density  $n_e$  is constant throughout the plasma, and the plasma equilibrium is quasineutral. An extraordinary mode (X mode) with frequency  $\omega > \sqrt{\omega_{pe}^2 + \Omega_e^2}$  and wave vector  $\mathbf{k} = k_{x0}\hat{\mathbf{x}} + k_{y0}\hat{\mathbf{y}}$  is launched from x = 0. Here, the plasma frequency  $\omega_{pe}$  and the cyclotron frequency  $\Omega_e$  satisfy

$$\omega_{pe} = \sqrt{\frac{e^2 n_e}{\epsilon_0 m_e}} \gtrsim \Omega_e = \frac{eB_0}{m_e}.$$

- (a) [5 marks] By considering the ray-tracing equation, show that  $k_y = \mathbf{k} \cdot \hat{\mathbf{y}}$  and  $k_z = \mathbf{k} \cdot \hat{\mathbf{z}}$  are constants along the path of the wave.
- (b) [3 marks] Determine  $k_{\parallel} = \mathbf{k} \cdot \mathbf{b}$  and  $\mathbf{k}_{\perp} = \mathbf{k} k_{\parallel}\mathbf{b}$ , with  $\mathbf{b}$  the unit vector in the direction of the magnetic field. Hence, write down the basis vectors  $\mathbf{k}_{\perp}/|\mathbf{k}_{\perp}|$  and  $\mathbf{b} \times \mathbf{k}_{\perp}/|\mathbf{k}_{\perp}|$ .
- (c) [12 marks] Show that the cold plasma dispersion relation for general x can be written in the form

$$\left(\frac{ck_x}{\omega}\right)^4 G(x,k_y,\omega) + \left(\frac{ck_x}{\omega}\right)^2 H(x,k_y,\omega) + K(x,k_y,\omega) = 0.$$
(8)

Determine G, H, and K. For the propagating X mode, use equation (8), and the initial condition at x = 0, to find the function  $F(x, k_y, \omega)$  such that

$$\left(\frac{ck_x}{\omega}\right)^2 = F(x, k_y, \omega).$$

- (d) [3 marks] Write down the condition for the wave to resonate. Show that there is no x at which the X mode resonates.
- (e) [6 marks] Write down the condition for the X mode to reflect. Show that if the wave reflects, it reflects at the position

$$\frac{x_c}{L} = \left(\frac{(n_y^2/\epsilon_{\parallel} - 1)(\epsilon_{\perp}(\epsilon_{\perp} - n_y^2) - g^2)}{(n_y^2 - \epsilon_{\perp})^2 - g^2}\right)^{1/2},$$

where  $n_y = ck_{y0}/\omega$ , and  $\epsilon_{\parallel}$ ,  $\epsilon_{\perp}$  and g are components of the cold plasma dielectric tensor. Use your result to show that the wave cannot reflect if  $k_{y0} = 0$ , or if  $\Omega_e \ll \omega$ .

(f) [6 marks] Finally, assume that  $\omega = 2\omega_{pe}$ , and  $\omega_{pe} = \sqrt{2}\Omega_e$ , and determine the range of  $k_{y0}$  for which reflection occurs.